

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: David Hait  
Serial No.: 10/698,040  
Filing Date: 10/30/2003  
For: Method of Determining Implied  
Volatility for American Options  
Docket No.: 5013.005

## Patent Application

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**Transmittal of Response to Office Action of October 29, 2008**  
(7 pages including this sheet)

Enclosed please find a Response to the Office Action of April 29, 2008, including a Request for Continued Examination. All extensions of time that may be deemed necessary are hereby requested. The Commissioner is hereby authorized to charge our Deposit Account No. 50-1604 for all amounts required.

Dated: October 29, 2008

Respectfully submitted,

/Morris E. Cohen/

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CERTIFICATE OF MAILING (FACSIMILE TRANSMISSION)

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at Facsimile Number 571-273-8300 on October 29, 2008.

/Morris E. Cohen/

Morris E. Cohen  
Transmission Date: October 29, 2008

Serial No. 10/698,040 filed October 30, 2003  
Response dated October 29, 2008  
to Office Action of April 29, 2008

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**Amendment and Response to Office Action of April 29, 2008**

In response to the Office Action of April 29, 2008, please amend the above-captioned application as follows:

**A Request for Continued Examination** begins on page 3 of this transmittal.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 4 of this transmittal.

**Remarks** begin on page 7 of this transmittal.

Serial No. 10/698,040 filed October 30, 2003  
Response dated October 29, 2008  
to Office Action of April 29, 2008

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**Request for Continued Examination (RCE)**

Pursuant to 37 C.F.R. §1.114 and all applicable law, Applicant hereby requests continued examination of the application. The present request is believed to be proper since:

- (1) prosecution in the application is closed (i.e. the last Office Action was a final action);
- (2) a responsive submission is included herewith;
- (3) the fee set forth for an RCE is hereby provided (please charge all required fees to Deposit Account 50-1604);
- (4) and the present request is being submitted prior to abandonment of the application.

Accordingly, withdrawal of the finality of the Office Action and entry and consideration of the enclosed submission are hereby requested.